

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Applicant: Rudin et al.
International Application: PCT/IB97/01634
Filed: October 17, 1997
US Patent Application 09/529,742
Filed April 17, 2000
Attorney Docket: (H) 97OM1412USP

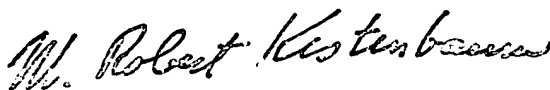
Box Refund Request
Assistant Commissioner for Patents
Washington, DC 20231

Submission of Small Entity Declaration and Request for Refund on Official Filing Fee

Dear Sir or Madam:

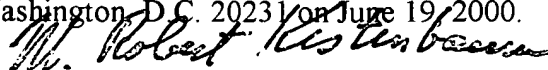
The undersigned attorney for the above-identified applicants, having herewith forwarded the attached Small Entity Declaration in connection with the above-identified application, requests that the official filing fee of \$840.00 be reduced 50% and would now appreciate having \$420.00 credited to his Deposit Account No. 11-0665 in due course. A duplicate of this document is attached for this purpose.

Respectfully submitted,



M. Robert Kestenbaum
Reg. No. 20,430
11011 Bermuda Dunes NE
Albuquerque, New Mexico 87111
Phone (505) 323-0771
Fax (505) 323-0865

I hereby certify this correspondence is being deposited as First Class Mail with the US Postal Service in an envelope with adequate postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on June 19, 2000.



M. Robert Kestenbaum

2000 JUN 22 PM 4:00

RECEIPT ACCOUNTING
DIVISION

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN			Docket No. (B)97OM1412USP
Serial No. PCT/TB97/01634	Filing Date October 17, 1997	Patent No.	Issue Date
Applicant/ Rudin et al. Patentee:			
Invention: Stomatic Composition			
I hereby declare that I am: <ul style="list-style-type: none"> <input type="checkbox"/> the owner of the small business concern identified below: <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below: 			
NAME OF CONCERN: Aktsionernoe Obschestvo Zakrytogo Tipa "Ostim" ADDRESS OF CONCERN: per. Sechenovskiy, 6-3, Moscow, 119034, Russia			
I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(f), and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.			
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in: <ul style="list-style-type: none"> <input type="checkbox"/> the specification filed herewith with title as listed above. <input checked="" type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above. 			
If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).			

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Vsevolod Rudin

TITLE OF PERSON SIGNING

General Director

OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

SIGNATURE:



DATE:

14.06.2000